

# Tree House Education & Accessories Ltd.

Office No.101, Sapphire Plaza, Dadabhai Road, Opp. CNMS School, Vile Parle West, Mumbai - 400 056.  
Tel: +91 22 26201029 CIN : L80101MH2006PLC163028



## **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 2022** (Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Guddi Bajpai, Compliance Officer, have examined the following compliance requirement of Tree House Education & Accessories Limited and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	yes	
2.	Whether control exists as to who can access the SDD for read/write along with the names and PAN of such person?	yes	
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	yes	
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	yes	
5.	Whether nature of UPSI have been captured along with date and time?	yes	
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	yes	
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	yes	
8.	Whether the database has been maintained internally?	yes	
9.	Whether audit trail is maintained?	yes	
10.	Whether time stamping is maintained?	yes	
11.	Whether the database is non-tamperable?	yes	
12.	Any other measures to ensure non-tamperability of the Database?	yes	

**Note: The information of the audit should cover the period when such information was inserted in the SDD upto the date of disclosure.**

The number of days for which non-compliance was observed: Nil

Further I also confirm that the Company was required to capture 1 number of events during the quarter ended and has captured 1 number of the said required events.

For Tree House Education & Accessories Limited

Guddi  
Compliance Officer

Date: 21.10.2022

Place: Mumbai

